



DEPARTMENT OF TRANSPORTATION

Federal Railroad Administration

[Docket No. FRA-2014-0011-N-19]

Proposed Agency Information Collection Activities; Comment Request

AGENCY: Federal Railroad Administration (FRA), DOT.

ACTION: Notice.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995 and its implementing regulations, the Federal Railroad Administration (FRA) hereby announces that it is seeking renewal of the following currently approved information collection activities. Before submitting the information collection requests (ICRs) below for clearance by the Office of Management and Budget (OMB), FRA is soliciting public comment on specific aspects of the activities identified below.

DATES: Comments must be received no later than **[INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER]**.

ADDRESSES: Submit written comments on any or all of the following proposed activities by mail to either: Mr. Robert Brogan, Office of Safety, Planning and Evaluation Division, RRS-21, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail Stop 25, Washington, D.C. 20590, or Ms. Kimberly Toone, Office of Information Technology, RAD-20, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail Stop 35, Washington, D.C. 20590. Commenters requesting FRA to acknowledge receipt of their respective comments must include a self-addressed stamped postcard stating, "Comments on OMB control number 2130 - ____." Alternatively, comments may be

transmitted via facsimile to (202) 493-6216 or (202) 493-6479, or via e-mail to Mr. Brogan at Robert.Brogan@dot.gov, or to Ms. Toone at Kimberly.Toone@dot.gov.

Please refer to the assigned OMB control number in any correspondence submitted. FRA will summarize comments received in response to this notice in a subsequent notice and include them in its information collection submission to OMB for approval.

FOR FURTHER INFORMATION CONTACT:

Mr. Robert Brogan, Office of Planning and Evaluation Division, RRS-21, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail Stop 17, Washington, DC 20590 (telephone: (202) 493-6292) or Ms. Kimberly Toone, Office of Information Technology, RAD-20, Federal Railroad Administration, 1200 New Jersey Ave., SE, Mail Stop 35, Washington, DC 20590 (telephone: (202) 493-6132). (These telephone numbers are not toll-free.)

SUPPLEMENTARY INFORMATION:

The Paperwork Reduction Act of 1995 (PRA), Public Law No. 104-13, sec. 2, 109 Stat. 163 (1995) (codified as revised at 44 U.S.C. 3501-3520), and its implementing regulations, 5 CFR part 1320, require Federal agencies to provide 60-days notice to the public for comment on information collection activities before seeking approval for reinstatement or renewal by OMB. 44 U.S.C. 3506(c)(2)(A); 5 CFR 1320.8(d)(1), 1320.10(e)(1), 1320.12(a). Specifically, FRA invites interested respondents to comment on the following summary of proposed information collection activities regarding (i) whether the information collection activities are necessary for FRA to properly execute its functions, including whether the activities will have practical utility; (ii) the accuracy of FRA's estimates of the burden of the information collection activities, including the

validity of the methodology and assumptions used to determine the estimates; (iii) ways for FRA to enhance the quality, utility, and clarity of the information being collected; and (iv) ways for FRA to minimize the burden of information collection activities on the public by automated, electronic, mechanical, or other technological collection techniques or other forms of information technology (e.g., permitting electronic submission of responses). See 44 U.S.C. 3506(c)(2)(A)(i)-(iv); 5 CFR 1320.8(d)(1)(i)-(iv). FRA believes that soliciting public comment will promote its efforts to reduce the administrative and paperwork burdens associated with the collection of information mandated by Federal regulations. In summary, FRA reasons that comments received will advance three objectives: (i) reduce reporting burdens; (ii) ensure that it organizes information collection requirements in a “user friendly” format to improve the use of such information; and (iii) accurately assess the resources expended to retrieve and produce information requested. See 44 U.S.C. 3501.

Below is a brief summary of currently approved information collection activities that FRA will submit for clearance by OMB as required under the PRA:

Title: Inspection Brake System Safety Standards for Freight and Other Non-Passenger Trains and Equipment (Power Brakes and Drawbars)

OMB Control Number: 2130-0008

Abstract: Section 7 of the Rail Safety Enforcement and Review Act of 1992, Public Law No. 102-365, amended Section 202 of the Federal Railroad Safety Act of 1970 (45 U.S.C. 421, 431 et seq.), empowered the Secretary of Transportation to conduct a review of the Department’s rules with respect to railroad power brakes and, where applicable, prescribe standards regarding dynamic brake equipment. In keeping with the Secretary’s

mandate and the authority delegated from him to the FRA Administrator, FRA issued revisions to the regulations governing freight power brakes and equipment in October 2008 by adding a new subpart addressing electronically controlled pneumatic (ECP) brake systems. The revisions are designed to provide for and encourage the safe implementation and use of ECT brake system technologies. These revisions contain specific requirements relating to design, interoperability, training, inspection, testing, handling defective equipment and periodic maintenance related to ECP brake systems. The final rule also identifies provisions of the existing regulations and statutes where FRA is proposing to provide flexibility to facilitate the voluntary adoption of this advanced brake system technology. The collection of information is used by FRA to monitor and enforce current regulatory requirements related to power brakes on freight cars as well as the recently added requirements related to ECP brake systems. The collection of information is also used by locomotive engineers and road crews to verify that the terminal air brake test has been performed in a satisfactory manner.

Form Number(s): None

Affected Public: Businesses

Respondent Universe: 559 railroads

Frequency of Submission: On occasion

Affected Public: Businesses

Reporting Burden:

CFR Section	Respondent Universe	Total Annual Responses	Average Time per Response	Total Annual Burden Hours

229.27 - Annual Tests	30,000 Locomotives	30,000 tests	15 minutes	7,500 hours
232.3- Applicability - Cars Not Used in Service	559 Railroads	8 cards	10 minutes	1 hour
232.7 - Waivers	559 railroads	25 petitions	80 hours	2,000 hours
232.11 - Penalties	559 railroads	1 false record	10 minutes	.17 hour
232.15 -Movement of Defective Equipment - Notice of Defective Car/Locomotive and Restrictions	1,620,000 cars/locos 1,620,000 cars/locos	128,400 tags 25,000 notices	2.5 minutes 3 minutes	5,350 hours 1,250 hours
232.17 - Special Approval Procedure -Petitions -- Pre-Revenue Svc Plans - Copies of Petitions – Special Approval - Statements of Interest - Comments on Special Approval Procedure Petition	559 railroads 559 railroads 559 railroads Public/Railroads Public/Railroads	4 petitions 2 petitions 4 petitions 14 statements 13 comments	100 hours 100 hours 20 hours 8 hours 4 hours	400 hours 200 hours 80 hours 112 hours 52 hours
232.103 - General Requirements for All Train Brakes	114,000 cars	70,000 stickers	10 minutes	11,667 hours
232.105 - General Requirements For Locomotives	30,000 locomotives	30,000 forms	5 minutes	2,500 hours
232.107 - Air Source Requirements - Plans - Amendments to Plan - Record Keeping	10 new railroads 50 Existing Plans 50 Existing Plans	1 plan 10 amendment 1,150 records	40 hours 20 hours 20 hours	40 hours 200 hours 23,000 hours
232.109 - Dynamic Br. Requirements - Rcd - Repair of Inoperative Dynamic Brakes - Locomotives w/ Inoperative Dynamic Br. - Deactivated Dynamic Brakes: Markings - Rule Safe Train Handling Procedures - Amendments - Over Speed Top Rules – 5 MPH Increase - Locomotive Engineer Certification Programs – Dynamic Brakes Training	559 railroads 30,000 locomotives 30,000 locomotives 8,000 locomotives 5 new railroads 559 railroads 559 railroads 5 new railroads	1,656,000 rcd 6,358 records 6,358 tags 10 markings 5 oper. rules 15 amendment 5 requests 5 amendments	4 minutes 4 minutes 30 seconds 5 minutes 4 hours 1 hour 20.5 hours 16 hours	110,400 hours 424 hours 53 hours 1 hour 20 hours 15 hours 103 hours 80 hours
232.111 - Train Information Handling - Amendments - Reports to Train Crews	5 new railroads 100 railroads 559 railroads	5 procedures 100 am. proc. 2,112,000 rpts	40 hours 20 hours 10 minutes	200 hours 2,000 hours 352,000 hours
232.203- Training Requirements: Training Programs - Subsequent Years - Amendments to Written Program - Training Records - Training Notifications - Validation/Assessment Plans - Amendments to Validation/Assessment Plans	15 railroads 559 railroads 559 railroads 559 railroads 559 railroads 559 railroads	5 programs 559 am. prog. 67,000 records 67,000 notices 1 plan + 559 copies 50 amendment	100 hours 8 hours 8 minutes 3 minutes 40 hrs./1 min. 20 hours	500 hours 4,472 hours 8,933 hours 3,350 hours 49 hours 1,000 hours
232.205 - Class I Brake Test - Initial Terminal Insp.	559 railroads	1,646,000 notices	45 seconds	20,575 hours

232.207 - Class I A Brake Tests: 1000 Mile Insp. - Subsequent Years - Amendments	559 railroads 559 railroads	5 designations 5 amendments	1 hour 1 hour	5 hours 5 hours
232.209 - Class II Brake Tests - Intermediate Insp.	559 railroads	1,597,000 comments	3 seconds	1,331 hours
232.213 - Extended Haul Trains - Designations	84,000 train movements	200 designates	15 minutes	50 hours
232.303 - General Requirements - Track Brake Test - Location of Last Track Brake Test/Single Car Test	1,600,000 freight cars 1,600,000 freight cars	5,600 tags 320,000 stencillings	5 minutes 5 minutes	467 hours 26,667 hours
232.305 - Single Car Tests	1,600,000 freight cars	320,000 tests/rcds.	45 minutes	240,000 hours
232.307 – Request to Modify Single Car Air Brake Test Procedures - Statement Affirming That Request Copies Have been Served on Designated Employee Representatives - Comment on Modification Request	AAR AAR RR Industry/Public/Interested Parties	1 request + 3 copies 1 statement + 4 copies 2 comments	20 hours + 5 minutes 30 minutes + 5 minutes 8 hours	20 hours 1 hour 16 hours
232.309 - Equipment and Devices - Tests/Calibrations	640 shops	5,000 tests	30 minutes	2,500 hours
232.403 - Design Standards For One-way EOT Devices - Unique Code	245 railroads	12 requests	5 minutes	1 hour
232.407 - Operations Requiring 2-Way EOTs	245 railroads	50,000 comm-unications	30 seconds	417 hours
232.409 - Inspection and Testing of 2-Way EOTs - Testing Telemetry Equipment	245 railroads 245 railroads	447,500 communications 32,708 markings	30 seconds 60 seconds	3,729 hours 545 hours
232.503 - Process to Introduce New Brake System Technology - Special Approval - Pre-Revenue Service Demonstration	559 railroads 559 railroads	1 request/letter 1 request	60 minutes 3 hours	1 hour 3 hours
232.505 - Pre-Revenue Service Acceptance Testing Plan: Maintenance Procedure -- Subsequent Years - Amendments - Design Descriptions - Petitions - Results Pre-Revenue Service Acceptance Testing - Description of Brake Systems Technologies Previously Used in Revenue Service	559 railroads 559 railroads 559 railroads 559 railroads 559 railroads	1 procedure 1 amendment 1 petition 1 report 5 descriptions	160 hours 40 hours 67 hours 13 hours 40 hours	160 hours 40 hours 67 hours 13 hours 200 hours

232.603 – ECP Requirements: Brakes – Configuration Management Plans	4 railroads	1 plan	160 hours	160 hours
- Updated Plans in Subsequent Years	4 railroads	1 plan	60 hours	60 hours
- Modification of Standards – Requests	4 railroads	1 request + 4 copies	8 hours + 5 minutes	8 hours
- RR Statement Affirming Copy of Modification Request to Employee Reps.	4 railroads	4 statements + 24 copies	60 minutes + 5 minutes	6 hours
- Comments on Modification Request	Public/Interested Parties	4 comments	2 hours	8 hours
232.605 – ECP Training Programs	4 railroads	4 programs	100 hours	400 hours
- Programs in Subsequent Years	4 railroads	2 programs	100 hours	200 hours
- ECP Trained Employees	4 railroads	6,409 workers	8 hrs./24 hrs.	10,512 hrs.
- ECP Trained Employees – Subsequent Yr	4 railroads	6,409 workers	1 hr./8 hrs.	30,264 hrs.
-ECP Trained Employees – Records	4 railroads	6,409 records	4 minutes	855 hours
- ECP Trained Employees – Sub. Records	4 railroads	6,409 records	4 minutes	428 hours
-RR/Contractor Assessment of ECP Training Programs – Amended Plans	4 railroads	4 amended Plans	40 hours	160 hours
- ECP Amended Operating Rules	4 railroads	4 am. rules	24 hours	96 hours
- Amended Locomotive Engineer Certification Programs	4 railroads	4 programs	40 hours	160 hours
232.607 – ECP Trains Inspection/Testing:	4 railroads	10,000 tests + 10,000 notices	90 minutes + 45 seconds	15,125 hours
- Notification to Locomotive Engineer	4 railroads	1,000 tests + 1,000 notices	60 minutes + 45 seconds	1,006 hours
-Cars Added en Route – Tests/Notifications	2000 Cars	200 insp. + 400 tags	5 minutes + 2.5 minutes	34 hours
232.609 – Handling of Defective Equipment w/ECP Brake Systems – Tagging	25 Cars	50 tags	2.5 minutes	2 hours
- Train in ECP Mode w/Less Than 85% of Cars w/Operative Brakes – Insp. + Tagging	20 Cars	20 insp. + 40 tags	5 minutes + 2.5 minutes	3 hours
-Freight Cars w/ECP Systems Found with Defective Non-Safety Appliance –Tagging	75 cars	150 tags	2.5 minutes	6 hours
- Conventional Train Operating with ECP Stand Alone Brake Systems – Tagging	500 cars	1,000 tags	2.5 minutes	42 hours
- Procedures for Handling ECP Brake System Repairs	4 railroads	4 procedures	24 hours	96 hours
- Submission to FRA of ECP Brake System Repair Locations – Lists	4 railroads	4 lists	8 hours	32 hours
- Notice to FRA of Change in List	4 railroads	1 notification	60 minutes	1 hour
232.611 – Periodic Maintenance Inspection and Repair of ECP Cars Before Release from Repair Shop or Track	500 freight Cars	500 inspection and records	10 minutes	83 hours
- Petitions for Special Approval of Pre-Revenue Service Acceptance Testing Plan	AAR	1 petition + 2 copies	24 hours+ 5 minutes	24 hours
-Single Car Brake Test on ECP Retrofitted Cars	2,500 freight Cars	2,500 tests/ records	45 minutes	1,875 hours
-Modification of Single Car Test Standard	AAR	1 procedure	40 hours	40 hours

Total Estimated Annual Responses: 8,677,683

Total Estimated Annual Burden: 991,451 hours

Status: Extension without Change of a Currently Approved Collection

Title: Occupational Noise Exposure for Railroad Operating Employees

OMB Control Number: 2130-0571

Abstract: The collection of information is used by FRA to ensure that railroads covered by this rule establish and implement – by specified dates – noise monitoring, hearing conservation, and audiometric testing programs, as well as hearing conservation training programs, to protect their employees against the damaging and potentially dangerous effects of excessive noise in the everyday rail environment.

Affected Public: Businesses

Respondent Universe: 460 railroads

Frequency of Submission: On occasion

Reporting Burden:

CFR Section	Respondent Universe	Total Annual Responses	Average Time per Response	Total Annual Burden Hours
227.9 - Waivers	460 Railroads	5 petitions	60 minutes	5 hours
227.103 - Noise Monitoring Program	460 Railroads	460 programs	3 hours/30	5,128 hours
- Notification of Employee of Monitoring	460 Railroads	905 lists	hours/600 hours 30 minutes	453 hours
227.107 - Hearing Conservation Program (HCP)	460 railroads	461 HCPs	150 hrs/2 hrs/31	2,875 hours
- Revised Hearing Conservation Programs (HCPs)	460 railroads	5 HCPs	hrs /7.5 hours 1.74 hours	9 hours
227.109 - Audiometric Testing Program - Existing Employees - Baseline Audiograms	85,600 Employees	7,100 a-grams	25 minutes	2,958 hours
- Periodic Audiograms	85,600 Employees	8,000 a-grams	10 minutes	1,333 hours
- Evaluation of Audiograms	85,600 Employees	2,330 eval. + 93 retests	6 min./2.5 hrs.	280 hours
- Problem Audiograms/ & required documents to Medical Professional	8,000 Employees	45 documents	10 minutes	8 hours
- Follow-up Procedures – Notifications	8,000 Employees	93 notices	15 minutes	8 hours
- Fitting/Training of Employees: Hearing Protectors	240 Employees	240 tr. session	2 minutes	8 hours
- Referrals For Clinical/Otological Exam	240 Employees	20 referrals	2 hours	40 hours
- Notification to Employee of Need: Otological Examination	240 Employees	20 notices	5 minutes	2 hours
- New Audiometric Interpretation	240 Employees	20 notices	5 minutes	2 hours
227.111 - Audiometric Test Requirements	1,000 Mobile Vans	1,000 tests	45 minutes	750 hours

227.117 - Hearing Protection Attenuation - Evaluation - Re-Evaluations	460 Railroads	50 evaluations	30 minutes	25 hours
	460 Railroads	10 re-evals	30 minutes	5 hours
227.119 - Hearing Conservation Training Program- Development - Employee Training - Periodic Training	460 Railroads/AAR	441 programs	1 hour/58 hrs/ 30 minutes	353 hours
	460 Railroads	26,000 tr. Employees	30 minutes	13,000 hours
	460 Railroads	7,100 tr. Employees	30 minutes	3,550 hours
227.121 - Record Keeping - Authorization: Records - Request for Copies of Reports - Records Transfer When Carrier Becomes Defunct - Railroad Audiometric Test Records - Hearing Conservation Program (HCP) Records	460 Railroads	30 requests + 30 responses	10 minutes + 15 minutes	13 hours
	460 Railroads	150 requests + 150 responses	21 minutes + 45 minutes	166 hours
	460 Railroads	10 records	24 minutes	4 hours
	460 Railroads	26,000 records	2 minutes	867 hours
	460 Railroads	54,000 records	45 seconds	675 hours
229.121 - Locomotive Cab Noise - Tests/Certification - Equipment Maintenance: Excessive Noise Reports - Maintenance Records - Internal Auditable Monitoring Systems	3 Equipment Manufacturers	700 tests/ records	40 minutes + 5 minutes	111 hours
	460 Railroads	3,000 reports + 3,000 record	1 minute + 1 minute	100 hours
	460 Railroads	3,750 records	1 minute	63 hours
	460 Railroads	460 systems	36 min. + 8.25 hours	506 hours
Appendix H - Static Test Protocols/Records	700 Locomotives	2 retests + 2 records	10 minutes + 5 minutes	1 hour

Total Estimated Annual Responses: 145,682

Estimated Total Annual Burden: 33,928 hours

Status: Extension of a Currently Approved Collection

Pursuant to 44 U.S.C. 3507(a) and 5 CFR 1320.5(b), 1320.8(b)(3)(vi), FRA informs all interested parties that it may not conduct or sponsor, and a respondent is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Authority: 44 U.S.C. 3501-3520.

Issued in Washington, D.C.

Rebecca Pennington,
Chief Financial Officer.

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